



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Whitman et al.

Serial No.: 09/944,230

Filed: August 30, 2001

For: SPIN COATING FOR MAXIMUM  
FILL CHARACTERISTIC YIELDING A  
PLANARIZED THIN FILM SURFACE

Confirmation No.: 2488

Examiner: T. Dickey

Group Art Unit: 2826

Attorney Docket No.: 2269-4294.1US  
(98-1208.01/US)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 12, 2006  
Date

Signature

Erika Gandre  
Name (Type/Print)

COMMUNICATION REGARDING FEES CHARGED

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

12/19/2006 SSANDARA 00000001 201469 09944230  
02 FC:1251 120.00 DA

Sir:

Enclosed are copies of a Request for Continued Examination (RCE) and Fee Transmittal filed by Applicants on November 20, 2006. Also enclosed is a copy of a Monthly Statement of Deposit Account for the above-reference application indicating that fees in the amount of \$395 were charged on November 22, 2006 for the Request for Continued Examination (RCE). However, Applicants claim large entity status and the fees were charged at small entity rates. This application was filed under large entity status and Applicants continue to qualify for large entity status. Therefore, please charge the balance of \$395 for the Request for Continued Examination (RCE) fees.

Adjustment date: 12/19/2006 SSANDARA  
11/22/2006 DEMANDU1 00000011 201469 09944230  
01 FC:2801 395.00 CR

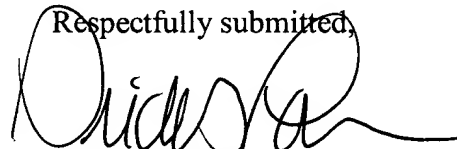
Adjustment date: 12/19/2006 SSANDARA  
11/22/2006 DEMANDU1 00000011 201469 09944230  
02 FC:2251 60.00 CR

Also charged on November 22, 2006 was Petition for Extension of Time fees in the amount of \$60.00 for small entity status. The filing of the Request for Continued Examination (RCE) was in response to the Decision on Appeal mailed September 18, 2006 and was timely filed within two months of the mailing date of the Decision on Appeal. Therefore, Applicants believe that no extension of time is due and request that \$60 be credited. If, however, extension of time fees are due, please increase the amount by \$60 appropriate for large entity status fee amount.

Additionally, the new claim fees of \$450 as indicated on the Fee Transmittal do not appear to have been charged to date. If a review of the records indicate that the new claim fees have not yet been charged, please charge the new claim fees of \$450.

Please charge and/or refund these amounts directly to Applicant's attorneys via their USPTO deposit account, TRASKBRITT, PC, Deposit Account No. 20-1469, and reference Attorney Docket No. 2269-4294.1US. A copy of this Transmittal Letter is enclosed for deposit account purposes.

Respectfully submitted,



Brick G. Power  
Registration No. 38,581  
Attorney for Applicants  
TRASKBRITT  
P.O. Box 2550  
Salt Lake City, Utah 84110-2550  
Telephone: 801-532-1922

Date: December 12, 2006

BGP/dlm:eg

Enclosures: Duplicate Copy of this Communication  
Copy of Request for Continued Examination (RCE) filed November 20, 2006  
Copy of Fee Transmittal filed November 20, 2006  
Copy of Monthly Statement of Deposit Account dated November 2006

Document in ProLaw

DEC 18 2006

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL  
for FY 2006**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 450.00**Complete if Known**

Application Number	09/944,230
Filing Date	8/30/2001
First Named Inventor	Whitman et al.
Examiner Name	T. Dickey
Art Unit	2826
Attorney Docket No.	2269-4294.1US (98-1208.01/US)

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify) : \_\_\_\_\_☒ Deposit Account Deposit Account Number: 20-1469 Deposit Account Name: TraskBritt, PC

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) ☒ Credit any overpayments  
Under 37 CFR 1.16 and 1.17**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	_____
Design	200	100	100	50	130	65	_____
Plant	200	100	300	150	160	80	_____
Reissue	300	150	500	250	600	300	_____
Provisional	200	100	0	0	0	0	_____

**2. EXCESS CLAIM FEES**

<u>Fee Description</u>				<u>Fee (\$)</u>	<u>Fee (\$)</u>
Each claim over 20 (including Reissues)				50	25
Each independent claim over 3 (including Reissues)				200	100
Multiple dependent claims				360	180
<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee(\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	
<u>29</u>	-24 or HP= <u>5</u>	x <u>50</u>	= <u>250</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
HP = highest number of total claims paid for, if greater than 20.				<u>0</u>	<u>0</u>
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee(\$)</u>	<u>Fee Paid (\$)</u>		
<u>4</u>	- 3 or HP= <u>1</u>	x <u>200</u>	= <u>200</u>		
HP = highest number of independent claims paid for, if greater than 3.					

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
_____	- 100 = _____	/ 50 = _____ (round up to a whole number) x		

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): \_\_\_\_\_

**SUBMITTED BY**

Signature	Registration No. (Attorney/Agent) 38,581	Telephone 801-532-1922
Name (Print/Type) Brick G. Power		Date November 20, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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